

**FILED PCT/PTO 16 MAR 2001**

FORM PTO-1390 (Modified)  
(REV 11-98)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

**204026US68PCT**

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

**09/805770**

INTERNATIONAL APPLICATION NO.  
**PCT/US99/21836**

INTERNATIONAL FILING DATE  
**21 September 1999**

PRIORITY DATE CLAIMED  
**21 September 1998**

TITLE OF INVENTION

**METHODS AND APPARATUS FOR PROCESSING TEMPERATURE SENSITIVE MATERIALS**

APPLICANT(S) FOR DO/EO/US

**Howard E. PURDUM**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- ☒ A copy of the International Search Report (PCT/ISA/210).
- ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
- ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
- ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
- ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ Certificate of Mailing by Express Mail
20. ☒ Other items or information:

**Request for Consideration of Documents Cited in International Search Report**

**Notice of Priority**

**PCT/IB/304**

**PCT/IB/308**

204026US68PCT

**CALCULATIONS    PTO USE ONLY**

**\$690.00**

**\$130.00**

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**\$0.00**

**61,802.00**

\$0.00

**\$1,802.00**

**\$0.00**

1,802.00

Amount to be: refunded	\$
charged	\$

DOCKET NO.: 20426US68PCT

JCS Rec'd PCT/PTO

09/805770

16 MAR 2001

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Howard E. PURDUM

SERIAL NO.: NEW U.S. PCT APPLICATION

FILED: HEREWITH

INTERNATIONAL APPLICATION NO.: PCT/US99/21836

INTERNATIONAL FILING DATE: 21 September 1999

FOR: METHODS AND APPARATUS FOR PROCESSING TEMPERATURE SENSITIVE  
MATERIALS

**REQUEST FOR PRIORITY UNDER 35 U.S.C. 119(e)**  
**AND THE INTERNATIONAL CONVENTION**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In the matter of the above-identified application for patent, notice is hereby given that the applicant claims as priority:

<b><u>COUNTRY</u></b>	<b><u>APPLICATION NO.</u></b>	<b><u>DAY/MONTH/YEAR</u></b>
UNITED STATES	60/101,307	21 September 1998

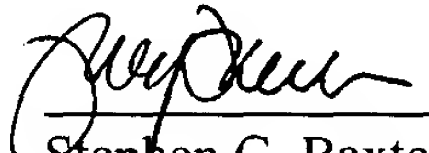
A certified copy of the corresponding Convention application(s) was submitted to the International Bureau in PCT Application No. **PCT/US99/21836**. Receipt of the certified copy(s) by the International Bureau in a timely manner under PCT Rule 17.1(a) has been acknowledged as evidenced by the attached PCT/IB/304.

Respectfully submitted,  
OBLON, SPIVAK, McCLELLAND,  
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